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ORIGINALLY FILED

PATENT
Atty. Docket No. 3386.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

6

Applicant: Fred CHRISTIANS et al.

Examiner: Unknown

Serial No: 09/683,613

Group Art Unit: 1646

Filing Date: January 24, 2002

RESPONSE TO NOTICE TO
COMPLY WITH 37 C.F.R. 1.821
TO 1.825

Title: METHODS FOR SCREENING
POLYPEPTIDES

RECEIVED

MAY 16 2002

Commissioner for Patents
Washington, D.C. 20231

TECH CENTER 1600/2900

Sir:

Applicant's attorney requests a one-month extension of time to and including May 7, 2002. A petition for Extension of Time and the appropriate fees are being filed concurrently.

In response to the Notice to Comply with Requirements for Patent Applications containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures mailed February 7, 2002. A Preliminary Amendment is concurrently filed herewith. Figures 10, 11 and 12 have been deleted in this preliminary amendment. These figures have been deleted to correct informalities. After the amendment, all Nucleotide Sequences have been deleted. Therefore, no sequence listing is required for this application.

Respectfully submitted,

Date: 5-1-2002

Wei Zhou
Reg. No. 44,419

Legal Department
Affymetrix, Inc.
3380 Central Expressway
Santa Clara, CA 95051
Tel: 408/731-5000
Fax: 408/731-5392

<div style="display: flex; align-items: center;"> <div style="border: 1px solid black; border-radius: 50%; padding: 10px; margin-right: 10px; text-align: center;"> OIP MAY 10 2002 JCS PATENT & TRADEMARK OFFICE </div> <div> <h2 style="margin: 0;">FEE TRANSMITTAL</h2> <h3 style="margin: 0;">for FY 2002</h3> <p style="font-size: small; margin: 5px 0;">Patent fees are subject to annual revision.</p> </div> </div>		<p>Complete if Known</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 40%;">Application Number</td> <td>09/683,613</td> </tr> <tr> <td>Filing Date</td> <td>January 24, 2002</td> </tr> <tr> <td>First Named Inventor</td> <td>Fred Christians</td> </tr> <tr> <td>Examiner Name</td> <td>Unknown</td> </tr> <tr> <td>Group / Art Unit</td> <td>1646</td> </tr> <tr> <td>Attorney Docket No.</td> <td>3386.1</td> </tr> </table>		Application Number	09/683,613	Filing Date	January 24, 2002	First Named Inventor	Fred Christians	Examiner Name	Unknown	Group / Art Unit	1646	Attorney Docket No.	3386.1
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<p>TOTAL AMOUNT OF PAYMENT (\$) 110</p>		<div style="font-size: 2em; font-weight: bold; opacity: 0.5; position: absolute; top: 0; right: 0;">RECEIVED</div> <div style="font-size: 1.2em; font-weight: bold; position: absolute; top: 50%; left: 50%; transform: translate(-50%, -50%);">MAY 16 2002</div> <div style="font-size: 1.2em; font-weight: bold; position: absolute; bottom: 0; right: 0;">TECH CENTER 1600/2900</div>													

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)																																																																																																																																																	
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number: 01-0431</p> <p>Deposit Account Name: Affymetrix, Inc.</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> <p>2. <input type="checkbox"/> Payment Enclosed:</p> <p><input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>	<p>3. ADDITIONAL FEES</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>110</td></tr> <tr><td>116</td><td>400</td><td>216</td><td>200</td><td></td></tr> <tr><td>117</td><td>920</td><td>217</td><td>460</td><td></td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td>720</td><td></td></tr> <tr><td>128</td><td>1,960</td><td>228</td><td>980</td><td></td></tr> <tr><td>119</td><td>320</td><td>219</td><td>160</td><td></td></tr> <tr><td>120</td><td>320</td><td>220</td><td>160</td><td></td></tr> <tr><td>121</td><td>280</td><td>221</td><td>140</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td></td></tr> <tr><td>141</td><td>1,280</td><td>241</td><td>640</td><td></td></tr> <tr><td>142</td><td>1,280</td><td>242</td><td>640</td><td></td></tr> <tr><td>143</td><td>460</td><td>243</td><td>230</td><td></td></tr> <tr><td>144</td><td>620</td><td>244</td><td>310</td><td></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td></td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td></td></tr> <tr><td>126</td><td>180</td><td>126</td><td>180</td><td></td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td></td></tr> <tr><td>146</td><td>740</td><td>246</td><td>370</td><td></td></tr> <tr><td>149</td><td>740</td><td>249</td><td>370</td><td></td></tr> <tr><td>179</td><td>740</td><td>279</td><td>370</td><td></td></tr> <tr><td>169</td><td>900</td><td>169</td><td>900</td><td></td></tr> </tbody> </table> <p>Other fee (specify) _____</p> <p>*Reduced by Basic Filing Fee Paid</p>	Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid	105	130	205	65		127	50	227	25		139	130	139	130		147	2,520	147	2,520		112	920*	112	920*		113	1,840*	113	1,840*		115	110	215	55	110	116	400	216	200		117	920	217	460		118	1,440	218	720		128	1,960	228	980		119	320	219	160		120	320	220	160		121	280	221	140		138	1,510	138	1,510		140	110	240	55		141	1,280	241	640		142	1,280	242	640		143	460	243	230		144	620	244	310		122	130	122	130		123	50	123	50		126	180	126	180		581	40	581	40		146	740	246	370		149	740	249	370		179	740	279	370		169	900	169	900	
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<p>**or number previously paid, if greater; For Reissues, see above</p>																																																																																																																																																		

SUBMITTED BY			Complete (if applicable)		
Name (Print/Type)	Wei Zhou	Registration No. Attorney/Agent)	44,419	Telephone	408-731-5000
Signature				Date	5/1/2002

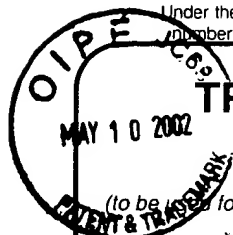
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PTO/SB/21 (6-98)

Approved for use through 09/30/2000. OMB 0351-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	090/683,613
Filing Date	January 24, 2002
First Named Inventor	Fred Christians
Group Art Unit	1646
Examiner Name	Unknown
Attorney Docket Number	3386.1

Total Number of Pages in This Submission

65

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input checked="" type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; width: fit-content;">Acknowledgement Postcard Request to substitute drawings</div>
<div style="border: 1px solid black; padding: 5px;">Remarks</div>		

COPY OF PAPER
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Wei Zhou
Signature	
Date	5-1-2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: May 1, 2002

Typed or printed name	Leticia Reyes Block		
Signature		Date	May 1, 2002

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PATENT
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Applicant: Christians et al.

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INFORMATION DISCLOSURE
STATEMENT

Title: **METHODS FOR SCREENING
POLYPEPTIDES**

Commissioner for Patents
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Sir:

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Pursuant to 37 CFR § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying U.S. Patent & Trademark Office's Modified Form-1449 are called to the attention of the Examiner for the above patent application. Copies of the documents are enclosed.

Citation of these documents shall not be construed as (1) an admission that the document are necessarily prior art with respect to the instant invention; (2) a representation that a search has been made, other than as described above; or (3) an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 01-0431. Please deduct any additional fees from, or credit any overpayment to the above-noted Deposit Account.

Dated: 5-1-2002

Respectfully submitted,

Wei Zhou
Reg. No. 44,419

Legal Department
Affymetrix, Inc.
3380 Central Expressway
Santa Clara, CA 95051
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Applicant: Fred CHRISTIANS et al.

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TRANSMITTAL OF SUBSTITUTE DRAWINGS

Commissioner for Patents
Washington, D.C. 20231


Sir:

Figures 10 to 12 have been removed from the application. Transmitted herewith are Substitute Figures 13 to 15, consisting of 3 sheets, to be renumbered as Figures 10 to 12, for filing in the subject patent application.

Acceptance of the substitute drawings is respectfully requested.

Respectfully submitted,

Date: 5-1-2002



Wei Zhou
Reg. No. 44,419

Legal Department
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